Audit checklist Feed Sector (spot audit) (Recognition)

Audit details				
Scheme participant				
QS locations audited				
Additional location information, e.g. coordinators or identification number				
Name of contact				
Spotaudit	X			
Random sample audit				
Audit of special purpose				
Parallel audit				
Date of audit (from)		Date of audit (until)		
Start of audit (hh:mm)		Ende of audit (hh:mm)		
Audit duration (hh:mm)				
Combined audit (norm/standard/programme)				
Certification body				
First name/surename of auditor				
Repeated D evaluation/general K.O.		Remark repeated D evaluation/general K.O.		
Comments				
Preliminary audit results		**Number of agreed corrective actions**		

_____   _____
Place, date   Signature/s of auditor/s

I hereby confirm the data concerning the company and the audit.
I have received a copy of the audit report (at least front page) and of the corrective actions report.

_____   _____
Place, date   Signature of person responsible

Company details - Feed sector

Name of company	
Street and house number	
Postal code and town	
Telephone/fax number	
Email address	
QS location number	
QS identification number	
Name of person responsible	
Recognition in third-party system		

Scope - Feed sector

Production scope	Production number
Premix production	70

Additional information - Feed sector

Information on the quality management system

A valid certificate from an accredited certification body for a QS-recognised QM-System exists.							
	ISO 9001:2015ff		ISO 22000:2018 ff		IFS		BRC
	FCA		GMP+ FSA		Fami-QS		UFAS/ FEMAS
	FSSC 22000						
Certificate valid until							

Information on premix production

Premix production QS products (in tonnes):	

Precise name of premix:

Company details - Feed sector

Name of company	
Street and house number	
Postal code and town	
Telephone/fax number	
Email address	
QS location number	
QS identification number	
Name of person responsible	
Recognition in third-party system	

Scope - Feed sector

Production scope		Production number
	Compound feed production	71

Additional information - Feed sector

Information on the quality management system

A valid certificate from an accredited certification body for a QS-recognised QM-System exists.							
	ISO 9001:2015ff		ISO 22000:2018 ff		IFS		BRC
	FCA		GMP+ FSA		FSSC 22000		UFAS/ FEMAS
	Pastus+		FAMI-QS		GMP-Pharma		RCNA
Certificate valid until							

Information on compound feed production

Compound feed QS production (in tonnes):	

Company details - Feed sector

Name of company	
Street and house number	
Postal code and town	
Telephone/fax number	
Email address	
QS location number	
QS identification number	
Name of person responsible	
Recognition in third-party system		

Scope - Feed sector

Production scope		Production number
	Feed material production	72

Additional information - Feed sector

Information on the quality management system

A valid certificate from an accredited certification body for a QS-recognised QM-System exists.							
	ISO 9001:2015ff		ISO 22000:2018 ff		IFS		BRC
	FCA		GMP+ FSA		GMP-Pharma		UFAS/ FEMAS
	Pastus+		FSSC 22000		EFISC-GTP		FAMI-QS
	RCNA						
Certificate valid until							

Information on feed material production

Feed material QS production (in tonnes) :	

Company details - Feed sector

Name of company	
Street and house number	
Postal code and town	
Telephone/fax number	
Email address	
QS location number	
QS identification number	
Name of person responsible	
Recognition in third-party system		

Scope - Feed sector

Production scope	Production number
Private labelling	74

Additional information - Feed sector

Information on the quality management system

A valid certificate from an accredited certification body for a QS-recognised QM-System exists.							
	ISO 9001:2015ff		ISO 22000:2018 ff		IFS		BRC
	FCA		GMP+ FSA		GMP_Pharma		UFAS/ FEMAS
	Pastus+		FSSC 22000		RCNA		
Certificate valid until							

Information on private labelling

Private labelling

	Contract producer*

* Please specify all locations (including locations numbers) visited within the context of contract producing below:

Name of the contract producer

Tonnage private labelling of QS feed:	
Traded feed	Please check if applicable.
Feed additives	
Premixes	
Compound feed	
Feed materials	

Company details - Feed sector

Name of company	
Street and house number	
Postal code and town	
Telephone/fax number	
Email address	
QS location number	
QS identification number	
Name of person responsible	
Recognition in third-party system	

Scope - Feed sector

Production scope	Production number
Feed trade	76

Additional information - Feed sector

Information on the quality management system

A valid certificate from an accredited certification body for a QS-recognised QM-System exists.							
	ISO 9001:2015ff		ISO 22000:2018 ff		IFS		BRC
	FCA		GMP+ FSA		UFAS/FEMAS		Pastus+
	FSSC 22000		FAMI-QS		EFISC-GTP		GMP-Pharma
	RCNA						
Certificate valid until							

Information trade

Trade with QS feed (in tonnes):	
traded feed	Please check if applicable.
Feed additives	
Premixes	
Compound feed	
Feed materials	
Trade incl. storage	
Bulk goods	
Packed goods	
Delivery trade	
Bulk goods	
Packed goods	

Company details - Feed sector

Name of company	
Street and house number	
Postal code and town	
Telephone/fax number	
Email address	
QS location number	
QS identification number	
Name of person responsible	
Recognition in third-party system		

Scope - Feed sector

Production scope	Production number
Storage and transshipment (feed)	77

Additional information - Feed sector

Information to the quality management system

A valid certificate from an accredited certification body for a QS-recognised QM-System exists.							
	ISO 9001:2015ff		ISO 22000:2018 ff		IFS		BRC
	FCA		GMP+ FSA				UFAS/ FEMAS
	Pastus+		FSSC 22000		RCNA		
Certificate valid until							

Information on storage and transshipment

Storage and transshipment	
	Storage and transshipment of QS feed as a service
	External storage facilities

Company details - Feed sector

Name of company	
Street and house number	
Postal code and town	
Telephone/fax number	
Email address	
QS location number	
QS identification number	
Name of person responsible	
Recognition in third-party system		

Scope - Feed sector

Production scope	Production number
Road transport (feed)	78

Additional information - Feed sector

Information on the quality management system

A valid certificate from an accredited certification body for a QS-recognised QM-System exists.							
	ISO 9001:2015ff		ISO 22000:2018 ff		IFS		BRC
	FCA		GMP+ SA		FSSC 22000		UFAS/ FEMAS
	Pastus+		Qualimat Transport		RCNA		
Certificate valid until							

Information on road transport

Transport (service)	
	Road transport

Company details - Feed sector

Name of company	
Street and house number	
Postal code and town	
Telephone/fax number	
Email address	
QS location number	
QS identification number	
Name of person responsible	
Recognition in third-party system		

Scope -Feed sector

Production scope	Production number
Rail, inland waterway and ocean ship transport (feed)	79

Additional information - Feed sector

Information on the quality management system

A valid certificate from an accredited certification body for a QS-recognised QM-System exists.

	ISO 9001:2015ff		ISO 22000:2018 ff		IFS		BRC
	FCA		GMP+ FSA		FSSC 22000		UFAS/ FEMAS
	RCNA						
	Certificate valid until						

Information on the chatering of rail, inland waterway and ocean ship transport

Transport (service)

	Chatering of rail transport		Chatering of inland waterway transport
	Chatering of ocean ship transport		

Company details - Feed sector

Name of company	
Street and house number	
Postal code and town	
Telephone/fax number	
Email address	
QS location number	
QS identification number	
Name of person responsible	
Recognition in third-party system	

Scope - Feed sector

Production scope	production number
Additive production	700

Additional information - Feed sector

Information on the quality management system

A valid certificate from an accredited certification body for a QS-recognised QM-System exists.							
	ISO 9001:2015ff		ISO 22000:20018 ff		IFS		BRC
	FCA		GMP+ FSA		Fami-QS		UFAS/ FEMAS
	FSSC 22000		GMP-Pharma		RCNA		
Certificate valid until							

Information on additive production

Additive production QS products (in tonnes):	

Precise name of the feed additives:

Require ment no.	Factor	Filter¹		Criterion/ requirement	A	B	C	D/ K.O.	E	Comments/corrective action number
* = For this requirement the evidence or measurement tool used for evaluation of compliance with the QS requirement must be documented, regardless of the outcome of the assessment. # = In case of a nonconformity the corrective action for this criterion has to take place within 28 days (only valid for production and QS-GAP and FIAS!) .
2		**General requirements**
2.1		**General system requirements**
2.1.1	1	Fp,T,S ,R,RW O		Company data		▨			X	
2.1.2	1	Fp,T,S ,R,RW O	**D=K.O.**	Official registration and authorisation		▨	▨		X	
2.1.3	1	Fp,T,S ,R,RW O	**D=K.O.**	Incident and crisis management		▨			X	
2.1.3 Ane	1	Fp,T,S ,R,RW O	**D=K.O.**	Incident and crisis management		▨				
2.1.4	1	Fp,T,S ,R,RW O	**D=K.O.**	Traceabillity		▨			X	
2.1.4 Ane	1	Fp,T,S ,R,RW O	**D=K.O.**	Traceabillity		▨				
2.2		**Separate QM certification**
2.2.1	1	Fp,T,S ,R,RW O		Recognition of a QM certification		▨	▨	▨	X	
2.3		**Structure of a Quality management system**
2.3.1	1	Fp,T,S ,R,RW O		Determination of scope					X	
2.3.2	1	Fp,T,S ,R,RW O		Justification for exclusions					X	

Require ment no.	Factor	Filter¹		Criterion/ requirement	A	B	C	D/ K.O.	E	Comments/corrective action number
2.4		**Requirements for the documentation and communication**								
2.4.1	1	Fp,T,S ,R,RW O	**D=K.O.**	Documentation					X	
2.4.2	1	Fp,T,S ,R,RW O		Control of documents					X	
2.4.3	1	Fp,T,S ,R,RW O		Safe-keeping					X	
2.4.4	1	Fp,T,S ,R,RW O		Communication					X	
2.5		**Leadership and planning**								
2.5.1	1	Fp,T,S ,R,RW O		Leadership and commiment of top management					X	
2.5.2	1	Fp,T,S ,R,RW O		Quality policy					X	
2.5.3	1	Fp,T,S ,R,RW O		Quality objectives and planning to achieve them					X	
2.5.4	1	Fp,T,S ,R,RW O		Roles and responsibilities					X	
2.5.5	1	Fp,T,S ,R,RW O		Planning modifications					X	
2.6		**Resource management**								
2.6.1	1	Fp,T,S ,R,RW O		Provision of resources					X	
2.6.2	1	Fp,T,S ,R,RW O		Human resources					X	
2.6.3	1	Fp,T,S ,R,RW O		Infrastructure					X	
2.7		**Product realisation and services**								

Require-ment no.	Factor	Filter¹		Criterion/ requirement	A	B	C	D/ K.O.	E	Comments/corrective action number
2.7.1	1	Fp,T,S,R,RWO		Definition and review of requirements for products and services					X	
2.7.2	1	Fp,T,S,R,RWO		Process planning and presentation					X	
2.7.3	1	Fp,T,S,R,RWO		Product realisation and provision of services					X	
2.7.4	1	Fp,T,S,R,RWO		Communication with customers					X	
2.7.5	1	Fp,T,S,R,RWO		Development					X	
2.8				**Monitoring, measurement and testing**						
2.8.1	1	Fp,T,S,R,RWO		Control of processes					X	
2.8.2	1	Fp,T,S,R,RWO		Control of products					X	
2.9				**Evaluation and improvement**						
2.9.1	1	Fp,T,S,R,RWO	**D=K.O.**	Supplier evaluation					X	
2.9.1 Ane	1	Fp,T,S,R,RWO	**D=K.O.**	Supplier evaluation						
2.9.2	1	Fp,T,S,R,RWO		Internal audits					X	
2.9.2 Ane	1	Fp,T,S,R,RWO		Internal audits						
2.9.3	1	Fp,T,S,R,RWO	**D=K.O.**	Management review					X	
2.9.3 Ane	1	Fp,T,S,R,RWO	**D=K.O.**	Management evaluation						
2.9.4	1	Fp,T,S,R,RWO		Customer complaints					X	

Require ment no.	Factor	Filter¹		Criterion/ requirement	A	B	C	D/ K.O.	E	Comments/corrective action number
2.9.5	1	Fp,T,S ,R,RW O	**D=K.O.**	Control of defective products and services *					X	
2.9.5 Ane	1	Fp,T,S ,R,RW O	**D=K.O.**	Control of faulty products *						
2.9.6	1	Fp,T,S ,R,RW O		Improvement					X	
2.10		**HACCP concept**								
2.10.1	1	Fp,T,S ,R,RW O	**D=K.O.**	HACCP concept					X	
2.10.1 Ar	1	Fp,T,S ,R,RW O	**D=K.O.**	HACCP concept						
2.10.2	1	Fp,T,S ,R,RW O		HACCP Team					X	
2.10.2 Ar	1	Fp,T,S ,R,RW O		HACCP Team						
2.10.3	1	Fp,T,S ,R,RW O		Flow charts					X	
2.10.3 Ar	1	Fp,T,S ,R,RW O		Flow charts						
2.10.4	1	Fp,T,S ,R,RW O		Hazard assessment					X	
2.10.4 Ar	1	Fp,T,S ,R,RW O		Hazard assessment						
2.10.5	1	Fp,T,S ,R,RW O		Critical control points (CCP)					X	
2.10.5 Ar	1	Fp,T,S ,R,RW O		Critical control points (CCP)						
2.10.6	1	Fp,T,S ,R,RW O		Limit values for CCP					X	
2.10.6 Ar	1	Fp,T,S ,R,RW O		Limit values for CCP						

Require ment no.	Factor	Filter¹		Criterion/ requirement	A	B	C	D/ K.O.	E	Comments/corrective action number
2.10.7	1	Fp,T,S ,R,RW O		Monitoring and verification of the limit values for CCP					X	
2.10.7 Ar	1	Fp,T,S ,R,RW O		Monitoring and Verification of the limit values for CCP						
2.10.8	1	Fp,T,S ,R,RW O		Corrective actions for CCP					X	
2.10.8 Ar	1	Fp,T,S ,R,RW O		Corrective actions for CCP						
2.10.9	1	Fp,T,S ,R,RW O		Responsibilities					X	
2.10.9 Ar	1	Fp,T,S ,R,RW O		Responsibilities						
2.10.10	1	Fp,T,S ,R,RW O		Documentation					X	
2.10.10 A	1	Fp,T,S ,R,RW O		Documentation						
2.10.11	1	Fp,T,S ,R,RW O		HACCP verification					X	
2.10.11 A	1	Fp,T,S ,R,RW O		HACCP verification						
3		**Requirements for feed production**								
3.1		**Product specifications for feed**								
3.1.1	1	Fp	**D=K.O.**	Description of the feed produced *					X	
3.1.2	1	Fp		Information for customers					X	
3.1.3	1	Fp	**D=K.O.**	Production of feed materials: QS-list of feed materials		/////			X	
3.2		**Supply specifications**								

Require ment no.	Factor	Filter¹		Criterion/ requirement	A	B	C	D/ K.O.	E	Comments/corrective action number
3.2.1	1	Fp		Description of raw materials					X	
3.3				**Management of raw materials**						
3.3.1	1	Fp		Supply of unprocessed primary agricultural products					X	
3.3.2	1	Fp		Supply of feed materials: Allowed lists		▨	▨		X	
3.3.3	1	Fp		Supply of products from vegetable oils and fats					X	
3.3.4	1	Fp		Supply and production of feed additives		▨	▨		X	
3.3.5	1	Fp	**D=K.O.**	Exclusion list		▨			X	
3.3.6 Spot	1	Fp		Receiving raw materials						
3.4				**Certification status of the suppliers**						
3.4.1	1	Fp	**D=K.O.**	Eligibility of delivery of the suppliers *					X	
3.4.2	1	Fp	**D=K.O.**	Supply of non-certified suppliers: Gate-Keeper-Regulation		▨			X	
3.5				**Additional requirements for the supply of (former) foodstuffs from food manufacturers**						
3.5.1	1	Fp		Quality questionnaire					X	
3.5.2	1	Fp		Process diagram					X	
3.5.3	1	Fp		Supplier audits					X	
3.5.4	1	Fp		Labelling and cleaning of collection containers					X	

Require ment no.	Factor	Filter[1]		Criterion/ requirement	A	B	C	D/ K.O.	E	Comments/corrective action number
3.5.5	1	Fp		Transport of raw materials					X	
3.5.6	1	Fp		Direct delivery to the customer		/////			X	
3.5.7	1	Fp		Evaluation of the supplier audits, quality questionnaire and process					X	
3.6	**Operating equipment**									
3.6.1 Spot	1	Fp		Layout of the operating facility						
3.6.2 Spot	1	Fp	**D=K.O.**	Guarantee of separation of products						
3.6.3 Spot	1	Fp	**D=K.O.**	Forbiddance of parallel production concerning special fats and oils						
3.7	**Maintenance and servicing**									
3.7.1	1	Fp		Maintenance programmes					X	
3.7.1 Ane	1	Fp		Maintenance programmes						
3.8	**Cleaning**									
3.8.1 Spot	1	Fp		Cleaning plans						
3.8.2 Spot	1	Fp	**D=K.O.**	Cleaning and disinfection agents		/////				
3.8.3 Spot	1	Fp	**D=K.O.**	Cleaning work						
3.9	**Pest monitoring**									
3.9.1 Spot	1	Fp	**D=K.O.**	Pest prevention and monitoring						

Require ment no.	Factor	Filter¹		Criterion/ requirement	A	B	C	D/ K.O.	E	Comments/corrective action number
3.9.2 Spot	1	Fp	D=K.O.	Pest control *		▨				
3.9.3	1	Fp	D=K.O.	Stock protection *		▨			X	
3.10	**Staff hygiene**									
3.10.1	1	Fp		Hygiene training *					X	
3.10.1 Ar	1	Fp		Hygiene training *						
3.11	**Regulations for company premises**									
3.11.1 Spot	1	Fp		Access to company premises						
3.11.2 Spot	1	Fp		Regulations on food, drinks and smoking *						
3.11.3	1	Fp		Work by external companies *		▨			X	
3.12	**Glass and other fragile materials**									
3.12.1 Spot	1	Fp	D=K.O.	Use of glass and other fragile materials						
3.13	**Waste management**									
3.13.1 Spot	1	Fp	D=K.O.	Waste management and disposal						
3.14	**Use of water**									
3.14.1	1	Fp	D=K.O.	Water quality					X	
3.14.1 Ar	1	Fp	D=K.O.	Water quality						

Require ment no.	Factor	Filter¹		Criterion/ requirement	A	B	C	D/ K.O.	E	Comments/corrective action number
3.14.2	1	Fp		Water additives					X	
3.15		**Carryover and cross-contamination**								
3.15.1	1	Fp	**D=K.O.**	Determination of possible carryovers		////			X	
3.15.2	1	Fp	**D=K.O.**	Cross-contamination		////			X	
3.15.2 Ar	1	Fp	**D=K.O.**	Cross-contamination		////				
3.16		**Contamination**								
3.16.1 Spot	1	Fp	**D=K.O.**	Prevention of contamination		////				
3.17		**Technological additives (processing agents)**								
3.17.1	1	Fp		Use of technological additives					X	
3.18		**Storage**								
3.18.1 Spot	1	Fp	**D=K.O.**	Storage management						
3.18.2 Spot	1	Fp		Quality maintenance						
3.18.3	1	Fp		Use of external storage facilities					X	
3.18.3 Ar	1	Fp		Use of external storage facilities						
3.19		**Packaging**								
3.19.1 Spot	1	Fp		Packaging						

Require ment no.	Factor	Filter¹		Criterion/ requirement	A	B	C	D/ K.O.	E	Comments/corrective action number
3.20	**Road transport**									
3.20.1	1	Fp		Transport of packed products					X	
3.20.2	1	Fp		Transport of bulk products					X	
3.20.2 An	1	Fp		Transport of bulk products						
3.21	**Commissioning of transport by rail or ship**									
3.21.1	1	Fp		Commissioning a charterer					X	
3.21.1 An	1	Fp		Commissioning a charterer						
3.21.2	1	Fp		Transport of part cargoes					X	
3.22	**Drying, ventilation and cooling**									
3.22.1 Spot	1	Fp	**D=K.O.**	Drying and preservation processes						
3.22.2 Spot	1	Fp		Controlling the moisture content and temperature						
3.23	**Separation of foreign materials**									
3.23.1 Spot	1	Fp		Procedures for separating foreign materials						
3.24	**Declaration of feed and labelling as feed from the QS scheme**									
3.24.1 Spot	1	Fp	**D=K.O.**	Article-related labelling as feed from the QS scheme *					▨	
3.24.2 Spot	1	Fp		Use of QS certification mark		▨				

Require ment no.	Factor	Filter¹		Criterion/ requirement	A	B	C	D/ K.O.	E	Comments/corrective action number
3.25		**Recording of location numbers (VVVO numbers)**								
3.25.1	1	Fp		Assigning of compound feed deliveries (bulk products) to the location					X	
3.26		**Feed monitoring**								
3.26.1	1	Fp	**D=K.O.**	Creation of site-specific QS control plans *		░			X	
3.26.2	1	Fp	**D=K.O.**	Compliance with QS control plans		░			X	
3.26.3	1	Fp		Sampling and sample shipping		░			X	
3.26.4	1	Fp		Analysis by QS-recognised laboratories		░			X	
3.26.5	1	Fp		Feed monitoring: Data entry					X	
3.26.5 Ar	1	Fp		Feed monitoring: Data entry						
3.26.6	1	Fp		Gate-Keeping: Data entry					X	
3.26.7	1	Fp	**D=K.O.**	Positive release sampling: Implementation		░			X	
3.26.7 Ar	1	Fp	**D=K.O.**	Positive release sampling: Implementation		░				
3.26.8	1	Fp		Positive release sampling: Data entry					X	
3.26.8 Ar	1	Fp		Positive release sampling: Data entry						
3.26.9	1	Fp	**D=K.O.**	Additional control plans: Implementation		░			X	
3.26.9 Ar	1	Fp	**D=K.O.**	Additional control plans: Implementation		░				

Require ment no.	Factor	Filter¹		Criterion/ requirement	A	B	C	D/ K.O.	E	Comments/corrective action number
3.26.10	1	Fp		Additional control plans: Data entry					X	
3.26.10 A	1	Fp		Additional control plans: Data entry						
3.26.11	1	Fp	**D=K.O.**	Ad-hoc monitoring plans: Implementation		////			X	
3.26.11 A	1	Fp	**D=K.O.**	Ad-hoc monitoring plans: Implementation		////				
3.26.12	1	Fp		Ad-hoc monitoring plans: Data entry					X	
3.26.12 A	1	Fp		Ad-hoc monitoring plans: Data entry						
3.27	**Retained samples**									
3.27.1 Spot	1	Fp	**D=K.O.**	Accumulation of retained samples		////				
3.28	**Requirements for Private Labeller**									
3.28.1 Spot	1	Fp	**D=K.O.**	Private Labeller: Description of the responsibilities *						
3.28.2 Spot	1	Fp	**D=K.O.**	Private Labeller: Eligiblity of delivery of the contract manufacturer *						
4.	**Requirements for the trade of feed**									
4.1	**Supply specifications**									
4.1.1	1	T		Description of the feed *					X	
4.1.1 Ane	1	T		Description of the feed *						
4.2	**Supply of feed**									

Require ment no.	Factor	Filter¹		Criterion/ requirement	A	B	C	D/ K.O.	E	Comments/corrective action number
4.2.1	1	T		Supply of unprocessed primary agricultural products		░			X	
4.2.2	1	T		Supply of feed materials: Allowed lists		░	░		X	
4.2.3	1	T		Supply of products from vegetable oils and fats					X	
4.2.4	1	T	**D=K.O.**	Exclusion list		░			X	
4.2.4 Ane	1	T	**D=K.O.**	Exclusion list		░			░	
4.2.5	1	T		Receiving feed					X	
4.2.5 Ane	1	T		Receiving feed						
4.3		**Certification status of suppliers**								
4.3.1	1	T	**D=K.O.**	Eligibility of delivery of the suppliers *					X	
4.3.1 Ane	1	T	**D=K.O.**	Eligibility of delivery of the suppliers *						
4.3.2	1	T	**D=K.O.**	Supply of non-certified suppliers: Gate-Keeper-Regulation		░			X	
4.3.2 Ane	1	T	**D=K.O.**	Supply of non-certified suppliers: Gate-Keeper-Regulation		░				
4.4		**Storage**								
4.4.1 Spot	1	T	**D=K.O.**	Storage facility environment						
4.4.2 Spot	1	T	**D=K.O.**	Storage management						
4.4.3 Spot	1	T	**D=K.O.**	Guarantee of separation of products						

Audit checklist Feed Sector (spot audit) (Recognition)

Require ment no.	Factor	Filter¹		Criterion/ requirement	A	B	C	D/ K.O.	E	Comments/corrective action number
4.4.4	1	T		Use of external storage facilities					X	
4.4.4 Ane	1	T		Use of external storage facilities					X	
4.5		**Maintenance and servicing**								
4.5.1	1	T		Maintenance programmes					X	
4.5.1 Ane	1	T		Maintenance programmes					X	
4.6		**Cleaning**								
4.6.1	1	T		Cleaning plans					X	
4.6.2 Spot	1	T	**D=K.O.**	Cleaning and disinfection agents		////				
4.6.3 Spot	1	T	**D=K.O.**	Cleaning work						
4.7		**Pest monitoring**								
4.7.1 Spot	1	T	**D=K.O.**	Pest prevention and monitoring						
4.7.2 Spot	1	T	**D=K.O.**	Pest control *		////				
4.7.3	1	T	**D=K.O.**	Stock protection *		////			X	
4.8		**Staff hygiene**								
4.8.1	1	T		Hygiene training *					X	
4.8.1 Ane	1	T		Hygiene training *						

Require ment no.	Factor	Filter¹		Criterion/ requirement	A	B	C	D/ K.O.	E	Comments/corrective action number
4.9		**Regulations for company premises**								
4.9.1 Spot	1	T		Access to company premises						
4.9.2 Spot	1	T		Regulations on food, drinks and smoking *						
4.9.3	1	T		Work by external companies *		/////			X	
4.10		**Glass and other fragile materials**								
4.10.1 Spot	1	T	**D=K.O.**	Use of glass and other fragile materials						
4.11		**Waste management**								
4.11.1 Spot	1	T	**D=K.O.**	Waste management and disposal						
4.12		**Use of water**								
4.12.1	1	T	**D=K.O.**	Water quality					X	
4.12.1 An	1	T	**D=K.O.**	Water quality						
4.12.2	1	T		Water additives					X	
4.13		**Contamination**								
4.13.1 Spot	1	T	**D=K.O.**	Prevention of contamination		/////				
4.14		**Stock controls**								
4.14.1 Spot	1	T		Quality maintenance						

Require ment no.	Factor	Filter¹		Criterion/ requirement	A	B	C	D/ K.O.	E	Comments/corrective action number
4.15		**Packaging**								
4.15.1 Spot	1	T		Packaging						
4.16		**Road transport**								
4.16.1	1	T		Transport of packed products					X	
4.16.2	1	T		Transport of bulk products					X	
4.16.2 Ar	1	T		Transport of bulk products						
4.17		**Commissioning of transport by rail or ship**								
4.17.1	1	T		Commissioning a charterer					X	
4.17.1 Ar	1	T		Commissioning a charterer						
4.17.2	1	T		Transport of part cargoes					X	
4.18		**Drying, ventilation and cooling**								
4.18.1 Spot	1	T	**D=K.O.**	Drying and preservation processes						
4.18.2 Spot	1	T		Controlling the moisture content and temperature						
4.19		**Separation of foreign materials**								
4.19.1 Spot	1	T		Procedures for separating foreign materials						
4.20		**Declaration of feed and labelling as feed from the QS scheme**								

Require ment no.	Factor	Filter¹		Criterion/ requirement	A	B	C	D/ K.O.	E	Comments/corrective action number
4.20.1 Spot	1	T	D=K.O.	Article-related labelling as feed from the QS scheme *						
4.20.2 Spot	1	T		Use of QS certification mark		░				
4.21				**Recording of location numbers (VVVO numbers)**						
4.21.1	1	T		Assigning of compound feed deliveries (bulk products) to the location					X	
4.22				**Feed Monitoring**						
4.22.1	1	T	D=K.O.	Creation of site-specific QS control plans *		░			X	
4.22.2	1	T	D=K.O.	Compliance with QS control plans		░			X	
4.22.3	1	T		Sampling and sample shipping		░			X	
4.22.4	1	T		Analysis by QS-recognised laboratories		░			X	
4.22.5	1	T		Feed monitoring: Data entry					X	
4.22.5 Ar	1	T		Feed monitoring: Data entry		░				
4.22.6	1	T		Gate Keeping: Data entry					X	
4.22.7	1	T	D=K.O.	Positive release sampling: Implementation		░			X	
4.22.7 Ar	1	T	D=K.O.	Positive release sampling: Implementation						
4.22.8	1	T		Positive release sampling: Data entry					X	
4.22.8 Ar	1	T		Positive release sampling: Data entry						

Require ment no.	Factor	Filter¹		Criterion/ requirement	A	B	C	D/ K.O.	E	Comments/corrective action number
4.22.9	1	T	**D=K.O.**	Additional control plans: Implementation		////			X	
4.22.9 An	1	T	**D=K.O.**	Additional control plans: Implementation		////				
4.22.10	1	T		Additional control plans: Data entry					X	
4.22.10 A	1	T		Additional control plans: Data entry						
4.22.11	1	T	**D=K.O.**	Ad-hoc monitoring plans: implementation		////			X	
4.22.11 A	1	T	**D=K.O.**	Ad-hoc monitoring plans: Implementation		////				
4.22.12	1	T		Ad-hoc monitoring plans: Data entry					X	
4.22.12 A	1	T		Ad-hoc monitoring plans: Data entry						
4.23	**Retained samples**									
4.23.1	1	T	**D=K.O.**	Accumulation of retained samples		////			X	
5	**Requirements for the transportat of feed by road**									
5.1	**Process descriptions**									
5.1.1	1	R	**D=K.O.**	Determination of processes					X	
5.1.1 An	1	R	**D=K.O.**	Determination of processes						
5.1.2	1	R	**D=K.O.**	Subcontracting					X	
5.1.2 An	1	R	**D=K.O.**	Subcontracting						

Require ment no.	Factor	Filter¹		Criterion/ requirement	A	B	C	D/ K.O.	E	Comments/corrective action number
5.2				**Labelling and traceability (documentation of transports)**						
5.2.1 Spot	1	R		Labelling and use of load compartments		/////				
5.2.2 Spot	1	R	**D=K.O.**	Documentation of journeys *						
5.3				**Investigation of cleaning measures**						
5.3.1 Spot	1	R	**D=K.O.**	Investigation of the necessary cleaning measures						
5.3.2	1	R		Release procedure after the transport of forbidden freights					X	
5.4				**Cleaning**						
5.4.1	1	R		Compilation of instructions					X	
5.4.2	1	R	**D=K.O.**	Cleaning work					X	
5.4.2 Ane	1	R	**D=K.O.**	Cleaning work						
5.4.3	1	R		Cleaning and disinfectants agents and water quality					X	
5.4.4 Spot	1	R		Documentation of cleaning measures						
5.4.5	1	R		Effectiveness assessment					X	
5.5				**Transport operations**						
5.5.1	1	R		Inspections prior to loading					X	
5.5.2 Spot	1	R	**D=K.O.**	Quality maintenance of feed						

Require ment no.	Factor	Filter¹		Criterion/ requirement	A	B	C	D/ K.O.	E	Comments/corrective action number
5.6		**Contamination**								
5.6.1	1	R	**D=K.O.**	Measures in the case of contamination					X	
5.6.1 Ane	1	R	**D=K.O.**	Measures in the case of contamination					X	
5.7		**Vehicles with multiple load compartments**								
5.7.1	1	R	**D=K.O.**	Requirements for vehicles with multiple load compartments					X	
5.8		**Additional requirements for combination vehicles**								
5.8.1	1	R	**D=K.O.**	Technical requirements for combination vehicles					X	
5.8.2	1	R	**D=K.O.**	Inspections of combination vehicles					X	
5.8.3	1	R		Cleaning of combination vehicles					X	
5.8.4	1	R		Protective clothing on combination vehicles					X	
5.8.5	1	R	**D=K.O.**	Additional requirements for combination vehicles with a flexible separation					X	
5.9		**Documentation**								
5.9.1	1	R		Handling documentations		░			X	
5.9.1 Ane	1	R		Handling documentations		░			X	
5.10		**Purchase or leasing of used freight holds**								
5.10.1	1	R		Purchase or leasing of used freight holds					X	

Require ment no.	Factor	Filter¹		Criterion/ requirement	A	B	C	D/ K.O.	E	Comments/corrective action number
5.10.1 Ar	1	R		Purchase or leasing of used freight holds						
6				**Requirements for the charterer when transporting feed by railway, inland waterways or ocean ships**						
6.1				**Process descriptions**						
6.1.1	1	RWO	**D=K.O.**	Determination of processes					X	
6.1.1 Ane	1	RWO	**D=K.O.**	Determination of processes						
6.2				**Documentation**						
6.2.1	1	RWO		Chartering documents					X	
6.2.1 Ane	1	RWO		Chartering documents						
6.3				**Load Compartment Inspections (LCI)**						
6.3.1 Spot	1	RWO	**D=K.O.**	Commissioning a load compartment inspection *						
6.3.2 Spot	1	RWO		LCI reports *						
6.4				**Requirements for transport on inland waterways**						
6.4.1	1	RWO	**D=K.O.**	Inland waterway transport: Commissioning a transporter					X	
7				**Requirements for the storage and transhipment of feed**						
7.1				**Process descriptions**						
7.1.1	1	S	**D=K.O.**	Storage processes					X	

Require ment no.	Factor	Filter¹		Criterion/ requirement	A	B	C	D/ K.O.	E	Comments/corrective action number
7.1.1 Ane	1	S	**D=K.O.**	Storage processes						
7.2		**Storage**								
7.2.1 Spot	1	S	**D=K.O.**	Storage facility environment						
7.2.2 Spot	1	S	**D=K.O.**	Storage management						
7.2.3 Spot	1	S	**D=K.O.**	Guarantee of separation of products						
7.3		**Maintenance and servicing**								
7.3.1	1	S		Maintenance programmes					X	
7.3.1 Ane	1	S		Maintenance programmes						
7.4		**Cleaning**								
7.4.1 Spot	1	S		Cleaning plans						
7.4.2 Spot	1	S	**D=K.O.**	Cleaning and disinfection agents		///				
7.4.3 Spot	1	S	**D=K.O.**	Cleaning work						
7.5		**Pest monitoring**								
7.5.1 Spot	1	S	**D=K.O.**	Pest prevention and monitoring						
7.5.2 Spot	1	S	**D=K.O.**	Pest control *		///				
7.5.3	1	S	**D=K.O.**	Stock protection *		///			X	

Requirement no.	Factor	Filter¹		Criterion/ requirement	A	B	C	D/ K.O.	E	Comments/corrective action number
7.6	**Staff hygiene**									
7.6.1	1	S		Hygiene training *					X	
7.6.1 Ane	1	S		Hygiene training *						
7.7	**Regulation for company premises**									
7.7.1 Spot	1	S		Access to company premises						
7.7.2 Spot	1	S		Regulations on food, drinks and smoking *						
7.7.3	1	S		Work by external companies *		/////			X	
7.8	**Glass and other fragile materials**									
7.8.1 Spot	1	S	**D=K.O.**	Use of glass and other fragile materials						
7.9	**Waste management**									
7.9.1 Spot	1	S	**D=K.O.**	Waste management and disposal						
7.10	**Use of water**									
7.10.1	1	S	**D=K.O.**	Water quality					X	
7.10.1 An	1	S	**D=K.O.**	Water quality						
7.10.2	1	S		Water additives					X	
7.11	**Contamination**									

Require ment no.	Factor	Filter[1]		Criterion/ requirement	A	B	C	D/ K.O.	E	Comments/corrective action number
7.11.1	1	S	**D=K.O.**	Prevention of contamination		░░░			X	
7.12		**Receiving of products and stock controls**								
7.12.1	1	S		Receiving of products					X	
7.12.1 An	1	S		Receiving of products						
7.12.2 Spot	1	S		Stock controls						
7.13		**Drying, ventilation and cooling**								
7.13.1 Spot	1	S	**D=K.O.**	Drying and preservation processes						
7.13.2 Spot	1	S		Controlling the moisture content and temperature*						
7.14		**Separation of foreign materials**								
7.14.1 Spot	1	S		Procedures for separating foreign materials						
7.15		**Packaging**								
7.15.1	1	S		Packaging					X	
I.		**VLOG add on module "Ohne Gentechnik"**								
I.1		**Requirement (only relevant for companies that registered for the VLOG-Additional Module**								
I.1.1 Spot	0	Fp,T,S ,R		Requirements "ohne Gentechnik"		░░░	░░░			
II.		**Add-on module QS-Soyplus**								

Require ment no.	Factor	Filter¹		Criterion/ requirement	A	B	C	D/ K.O.	E	Comments/corrective action number
II. 2			**Requirements QS-Soyplus**							
II. 2.1			**General requirements**							
II. 2.1.1	1	Fp,,,T		Responsibilities and human resources					X	
II. 2.1.2	1	Fp,,,T		Trainings					X	
II. 2.1.3	1	Fp,,,T	**D=K.O.**	Documentation					X	
II. 2.1.4	1	Fp,,,T		Commissioning of third parties					X	
II. 2.1.5	1	Fp,,,T		Supplier evaluation					X	
II. 2.1.6	1	Fp,,,T	**D=K.O.**	Traceability					X	
II. 2.1.7	1	Fp,,,T		Incident management					X	
II. 2.2			**Evaluations and improvement**							
II. 2.2.1	1	Fp,,,T		Complaint management					X	
II. 2.2.2	1	Fp,,,T		Internal audits					X	
II. 2.2.3	1	Fp,,,T	**D=K.O.**	Management review					X	
II. 2.3			**Certification status of suppliers and products**							
II. 2.3.1	1	Fp,,,T		QS-compliance of the products					X	
II. 2.3.2	1	Fp,,,T	**D=K.O.**	QS-Soyplus eligibility of delivery of suppliers					X	

Require ment no.	Factor	Filter[1]		Criterion/ requirement	A	B	C	D/ K.O.	E	Comments/corrective action number
II. 2.4		**Sourcing Soy for IP, SEG and MB**								
II. 2.4.1	1	Fp,,,T		Purchase of unprocessed soybeans					X	
II. 2.4.2	1	Fp,,,T		Procurement of processed products from soybeans (feed material)					X	
II. 2.4.3	1	Fp,,,T		Purchase of compound feed					X	
II. 2.5		**Sourcing of Soy for B&C**								
II. 2.5.1	1	Fp,,,T	**D=K.O.**	Purchase of credits					X	
II. 2.6		**Handling of soy**								
II. 2.6.1	1	Fp,,,T		Verification of shipping papers for incoming products					X	
II. 2.6.2	1	Fp,,,T		Labelling of outgoing products					X	
II. 2.6.3	1	Fp,,,T	**D=K.O.**	Material accounting system					X	
II. 2.6.4	1	Fp,,,T		Conversion factors					X	
II. 2.7		**Centrally organized purchasing for companies with several sites**								
II. 2.7.1	1	Fp,,,T		Requirements for centrally organized purchasing					X	
II. 2.7.2	1	Fp,,,T		Description of responsibilities					X	
II. 2.7.3	1	Fp,,,T		Information flow in case of changes regarding the QS-Soyplus eligibility of					X	
II. 2.8		**Identity Preserved (IP)**								

Require ment no.	Factor	Filter¹		Criterion/ requirement	A	B	C	D/ K.O.	E	Comments/corrective action number
II. 2.8.1	1	Fp,,,T	**D=K.O.**	Sourcing of soy for IP					X	
II. 2.8.2	1	Fp,,,T		Separation of products/Identity preservation					X	
II. 2.8.3	1	Fp,,,T		Storage management					X	
II. 2.8.4	1	Fp,,,T		Labelling of IP-QS-Soyplus					X	
II. 2.9				**Segregation (SEG)**						
II. 2.9.1	1	Fp,,,T	**D=K.O.**	Sourcing of soy for SEG					X	
II. 2.9.2	1	Fp,,,T		Separation of products/ Segregation					X	
II. 2.9.3	1	Fp,,,T		Storage management					X	
II. 2.9.4	1	Fp,,,T		Labelling of segregated QS-Soyplus					X	
II. 2.10				**Mass Balance (MB)**						
II. 2.10.1	1	Fp,,,T	**D=K.O.**	Sourcing of soy for MB					X	
II. 2.10.2	1	Fp,,,T		Material Accounting					X	
II. 2.10.3	1	Fp,,,T		Labelling of mass-balanced QS-Soyplus					X	
II. 2.11				**Book & Claim (B&C)**						
II. 2.11.1	1	Fp,,,T	**D=K.O.**	Purchase of Book&Claim Soy					X	
II. 2.11.2	1	Fp,,,T		Material Accounting					X	

Requirement no.	Factor	Filter[1]		Criterion/ requirement	A	B	C	D/ K.O.	E	Comments/corrective action number
II. 2.11.3	1	Fp,,,T		Labelling of Book&Claim QS-Soyplus					X	

Company _____ **Date** _____

Calculation of audit result

1. Balance of subtotals

Calculation	A	B	C	D	E
(1) Number of evaluations					

Sum of evaluations (excluding E evaluations)					

2. Calculation of the proportion of C and D evaluations*

Proportion of C evaluations		(Number of C evaluations / sum of evaluations)*100
Proportion of D evaluations		(Number of D evaluations / sum of evaluations)*100
Proportion of C and D evaluations		Proportion of C + proportion of D

3. Preliminary audit result

	Percentage of C evaluations	Percentage of D evaluations	Percentage of C+D evaluations	Audit result
	max. 5,0%	0,0%	/////	**QS-Status I***
	max. 10,0%	max. 3,0%	max. 10%	**QS-Status II****
	max. 20%	max. 10%	max. 20%	**QS-Status III**

*Status I: If the 5 % target is exceeded, status I will still be assigned if there is only one C-evaluation. ****Status II:** If the percentage with regard to the proportion of D evaluations is exceeded, status II is assigned if only one D evaluation exists and no C evaluation

	Percentages exceeded	**Audit not passed.**
Number of K.O.	K.O.	**Audit not passed.**
	General K.O./ repeated D evaluation	**Audit not passed.**

Company: **Date:**

Corrective actions report

I hereby confirm that the following corrective actions were agreed upon between me and the auditor.

The certification body is to be informed no later than the expiry of the deadline set out in the action plan about the implementation of a corrective action.
Note: The correction deadline is a maximum of 28 days for all FIAS requirements and the documentation requirements: 2.1.1, 2.1.2, 3.4.1 und 3.9.5 (only valid for production!)

Place, date	Signature/s of auditor/s	Signature of person responsible

Serial no.	Require-ment No.	Evaluation (C, D/K.O.)	Description of nonconformity	Agreed corrective actions	Scope (T,RWO,Fp,S,R)	Deadline for correction
1						

Company: **Date:**

Review of the implementation of corrective actions

_____

Place, date   Signature/s of auditor/s

Serial no.	**Implemented**	**Not implemented**	**Comments (if any)**	**Date**
1				